

Index theory on pin manifolds

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Let's begin with the question: Why pin manifolds?

Geometric structures

Definition: A *symmetry type* (of dim n) is a homomorphism of Lie groups $\lambda: G_n \rightarrow GL_n\mathbb{R}$

- Examples:**
- $O_n \hookrightarrow GL_n\mathbb{R}$ (Riemannian geometry)
 - $Spin_n \rightarrow GL_n\mathbb{R}$ (spin geometry)
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Affine symmetry group:

$$\begin{array}{ccccccc}
 & & 1 & & 1 & & \\
 & & \downarrow & & \downarrow & & \\
 & & K & \xlongequal{\quad} & K & & \\
 & & \downarrow & & \downarrow & & \\
 1 & \longrightarrow & \mathbb{R}^n & \longrightarrow & \mathcal{G}_n & \dashrightarrow & G_n \longrightarrow 1 \\
 & & \parallel & & \downarrow \tilde{\lambda} & & \downarrow \lambda \\
 1 & \longrightarrow & \mathbb{R}^n & \longrightarrow & \text{Aff}_n & \longrightarrow & GL_n \mathbb{R} \longrightarrow 1
 \end{array}$$



Symmetry \rightsquigarrow *structure* on a smooth manifold M , encoded in a lift of the frame bundle:

$$\begin{array}{ccc} (P, \Theta) & & \\ \downarrow G_n & & \\ M & & \end{array} \quad \begin{array}{ccc} \mathcal{B}(M) & \xrightarrow[\cong]{\theta} & \lambda(P) \\ & \searrow GL_n \mathbb{R} & \swarrow GL_n \mathbb{R} \\ & M & \end{array} \quad (\Theta \text{ is a } G_n\text{-connection})$$

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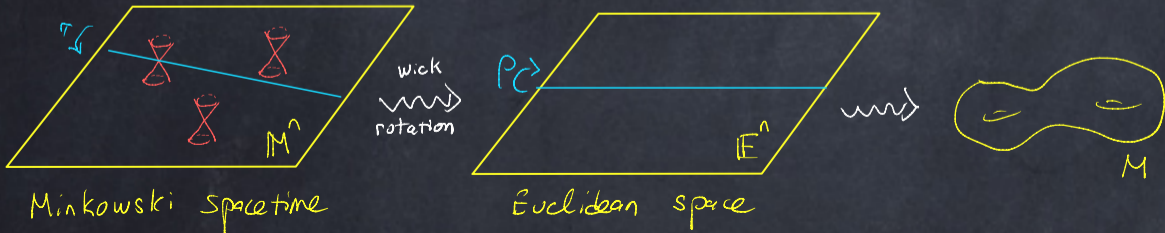
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Relativistic quantum theory with time-reversal symmetry and spinors \rightsquigarrow pin manifolds

Pin groups and Clifford algebras

$$\text{Cliff}_{p,q} : e_1^2 = \cdots = e_p^2 = +1, \quad e_{p+1}^2 = \cdots = e_{p+q}^2 = -1$$

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$$\mathbf{Pin}_n^+ = \mathbf{Pin}_{n,0} \quad \mathbf{Pin}_n^- = \mathbf{Pin}_{0,n} \quad \mathbf{Cliff}_{+n} = \mathbf{Cliff}_{n,0} \quad \mathbf{Cliff}_{-n} = \mathbf{Cliff}_{0,n}$$

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In low dimensions there are special isomorphisms:

n	\mathbf{Spin}_n	\mathbf{Pin}_n^+	\mathbf{Pin}_n^-
1	\mathbb{Z}_2	$\mathbb{Z}_2 \times \mathbb{Z}_2$	\mathbb{Z}_4
2	\mathbb{T}	$\mathbb{Z}_2 \times \mathbb{T}$	$(\mathbb{Z}_4 \times \mathbb{T}) / \mathbb{Z}_2$
3	\mathbf{SU}_2	$(\mathbb{Z}_4 \times \mathbf{SU}_2) / \mathbb{Z}_2$	$\mathbb{Z}_2 \times \mathbf{SU}_2$

Key observation: There exist embeddings

$$\begin{aligned} \mathbf{Pin}_n^+ &\hookrightarrow \mathbf{Spin}_{n,1} \subset \mathbf{Cliff}_{n,1}^0 && \cong [\mathbf{Cliff}_{+n} \otimes \mathbf{Cliff}_{-1}]^0 \\ \mathbf{Pin}_n^- &\hookrightarrow \mathbf{Spin}_{n+1} \subset \mathbf{Cliff}_{+(n+1)}^0 && \cong [\mathbf{Cliff}_{+n} \otimes \mathbf{Cliff}_{+1}]^0 \end{aligned}$$

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$$g \longmapsto g \otimes 1, \quad g \in \mathbf{Spin}_n$$

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Note the Morita equivalence

$$\text{Cliff}_{n,1} \cong \text{Cliff}_{+(n-1)} \otimes \text{Cliff}_{1,1} \stackrel{\text{Morita}}{\cong} \text{Cliff}_{+(n-1)}$$

and so the opposite shifts

A 10-fold way

Theorem: There are embeddings $H_n(s) \hookrightarrow \text{Cliff}_{+n} \otimes D(s)$ compatible with Clifford multiplication

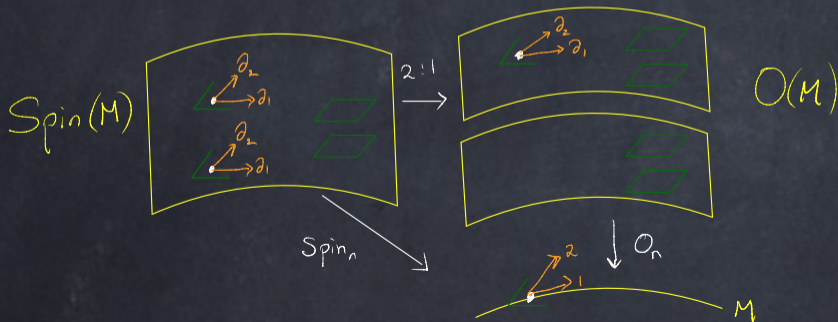
s	H^c	K	Cartan	D
0	Spin^c	\mathbb{T}	A	\mathbb{C}
1	Pin^c	\mathbb{T}	AIII	$\text{Cliff}_{-1}^{\mathbb{C}}$

s	H	K	Cartan	D
0	Spin	μ_2	D	\mathbb{R}
-1	Pin^+	μ_2	DIII	Cliff_{-1}
-2	$\text{Pin}^+ \times_{\{\pm 1\}} \mathbb{T}$	\mathbb{T}	AII	Cliff_{-2}
-3	$\text{Pin}^- \times_{\{\pm 1\}} \text{Sp}_1$	Sp_1	CII	Cliff_{-3}
4	$\text{Spin} \times_{\{\pm 1\}} \text{Sp}_1$	Sp_1	C	\mathbb{H}
3	$\text{Pin}^+ \times_{\{\pm 1\}} \text{Sp}_1$	Sp_1	CI	Cliff_{+3}
2	$\text{Pin}^- \times_{\{\pm 1\}} \mathbb{T}$	\mathbb{T}	AI	Cliff_{+2}
1	Pin^-	μ_2	BDI	Cliff_{+1}

The Clifford linear Dirac operator

$$\begin{aligned}
 &M \\
 &O(M) \longrightarrow M \\
 &\partial_1, \dots, \partial_n \\
 &Spin(M) \longrightarrow O(M) \longrightarrow M \\
 &Spin_n \subset Cliff_{+n} \hookrightarrow Cliff_{+n} \hookrightarrow Cliff_{+n}
 \end{aligned}$$

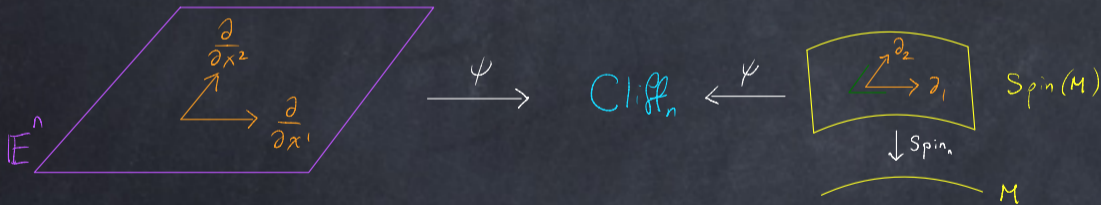
Riemannian spin manifold
 bundle of orthonormal frames
 tautological horizontal vector fields
 lift to principal $Spin_n$ -bundle
 left regular $Cliff_{+n}$ -module



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$$\mathbb{E}^n : \quad D = \gamma^1 \frac{\partial}{\partial x^1} + \dots + \gamma^n \frac{\partial}{\partial x^n} \hookrightarrow \left(\psi : \mathbb{E}^n \longrightarrow \text{Cliff}_{+n} \right) \hookrightarrow \text{Cliff}_{+n}$$

$$M : \quad D = \gamma^1 \partial_1 + \dots + \gamma^n \partial_n \hookrightarrow \left(\psi : \text{Spin}(M) \longrightarrow \text{Cliff}_{+n} \right) \hookrightarrow \text{Cliff}_{+n}$$

Modification for a Pin manifold:

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$$\text{Pin}_n^- \subset \text{Cliff}_{+(n+1)} \hookrightarrow \text{Cliff}_{+(n+1)} \hookrightarrow \text{Cliff}_{+(n+1)} \quad \text{left regular Cliff}_{+(n+1)}\text{-module}$$

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$$M : \quad D = \gamma^1 \partial_1 + \cdots + \gamma^n \partial_n \hookrightarrow \left(\psi : \text{Pin}^-(M) \longrightarrow \text{Cliff}_{+(n+1)} \right) \hookrightarrow \text{Cliff}_{+(n+1)}$$

From now on we restrict to Pin^+ ; everything works for Pin^- with the opposite shift

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The ($\mathbb{Z}/2\mathbb{Z}$ -graded) opposite algebra to $\mathbf{Cliff}_{p,q}$ is $\mathbf{Cliff}_{q,p}$, and so

$$\mathbf{Pin}_n^+ \subset \mathbf{Cliff}_{n,1} \subset \mathbf{Cliff}_{n,1} \supset \mathbf{Cliff}_{n,1}$$

is equivalent to commuting left actions

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Therefore, the Dirac operators

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$$M : \quad D = \gamma^1 \partial_1 + \cdots + \gamma^n \partial_n \subset \left(\psi : \mathbf{Pin}^+(M) \longrightarrow \mathbf{Cliff}_{n,1} \right)$$

have a commuting left $\mathbf{Cliff}_{1,n} \stackrel{\text{Morita}}{\simeq} \mathbf{Cliff}_{-(n-1)}$ action

Atiyah-Singer index theorem for Dirac operators

$$\pi: X \longrightarrow S$$

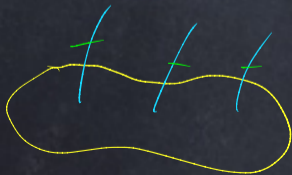
proper fiber bundle of relative dimension n

relative spin structure

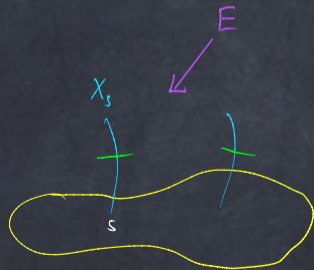
Riemannian structure on π (relative metric + horizontal distribution)

$$E \longrightarrow X$$

orthogonal vector bundle with compatible ∇



S



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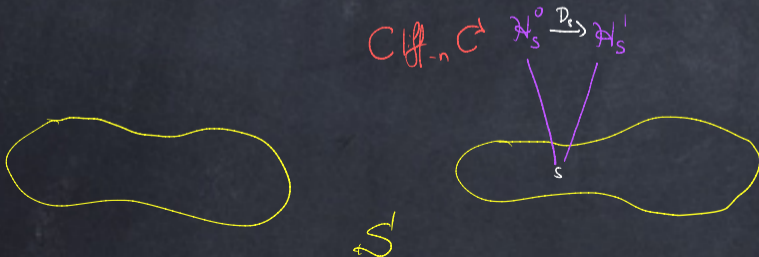
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Theorem: $\text{ind } D_{X/S} = \pi_!([E])$

Modification for Pin^+

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To extract more information we turn to **differential K -theory** and secondary invariants

Generalized differential cohomology

Precursors: **Deligne** cohomology (1971) and **Cheeger-Simons** differential characters (1973)

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This only scratches the surface; see the recent survey by **Debray** and **Amabel-Debray-Haine**

Let M be a smooth manifold

$$H^1(M; \mathbb{Z}) \cong \{\text{smooth maps } M \longrightarrow \mathbb{R}/\mathbb{Z}\} / \text{homotopy}$$
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$$\begin{array}{ccc} \check{H}^q(M) & \xrightarrow{\text{curvature}} & \Omega_{\mathbb{Z}}^q(M) \\ \pi_0 \downarrow & & \downarrow \text{de Rham} \\ H^q(M; \mathbb{Z}) & \longrightarrow & H^q(M; \mathbb{R}) \end{array}$$

This is a *commutative* square of abelian groups, but not a *pullback* square: try $q = 1$ and $M = \text{pt}$

$$\begin{array}{ccc}
 \check{H}^q(M) & \xrightarrow{\text{curvature}} & \Omega_{\mathbb{Z}}^q(M) \quad \omega \\
 \pi_0 \downarrow & & \downarrow \text{de Rham} \\
 \mathbb{C} \quad H^q(M; \mathbb{Z}) & \longrightarrow & H^q(M; \mathbb{R}) \quad h
 \end{array}$$

$$\begin{cases}
 c \in C^0(M; \mathbb{Z}) \\
 \omega \in \Omega^0(M) \\
 h \in C^{0-1}(M; \mathbb{R})
 \end{cases}$$

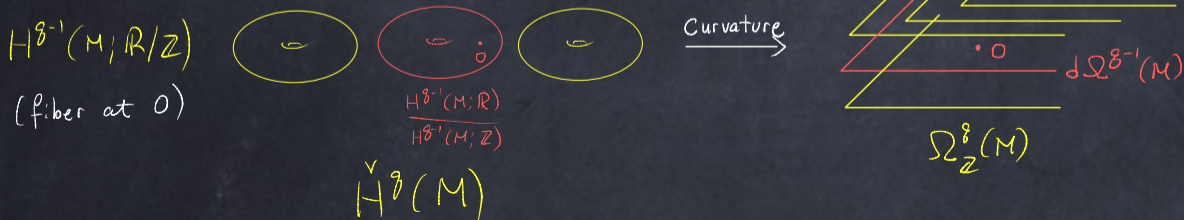
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- interplay of π_0 and ι gives topological information beyond cohomology

Differential KO -theory

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Theorem (Klonoff): Let M be a closed n -dimensional spin^c manifold, and suppose $E \rightarrow M$ is a unitary vector bundle with covariant derivative. Then the pushforward $\check{\pi}_!^M : \check{K}^0(M) \rightarrow \check{K}^{-n}(\text{pt})$ is

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- We use the extension of **Theorem** to \widetilde{KO} , though I don't know if proofs exist in print
- A thorough development of geometric index theory using \check{K} - and \widetilde{KO} -theory is needed

Differential KO -theory and η -invariants on spin manifolds

$$\widetilde{KO}^{-n}(\text{pt}) \cong \begin{cases} \mathbb{R}/\mathbb{Z}, & n \equiv 7 \pmod{8} \\ 0, & n \equiv 6 \pmod{8} \\ 0, & n \equiv 5 \pmod{8} \\ \mathbb{Z}, & n \equiv 4 \pmod{8} \\ \mathbb{R}/\mathbb{Z}, & n \equiv 3 \pmod{8} \\ \mathbb{Z}/2\mathbb{Z}, & n \equiv 2 \pmod{8} \\ \mathbb{Z}/2\mathbb{Z}, & n \equiv 1 \pmod{8} \\ \mathbb{Z}, & n \equiv 0 \pmod{8} \end{cases}$$

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For $n \equiv 3 \pmod{8}$ the correct invariant is $\xi/2 \pmod{1}$

Differential KO -theory and η -invariants on pin^+ manifolds

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Furthermore, $\check{\pi}_1^M([\check{E}])$ is rational (lies in \mathbb{Q}/\mathbb{Z}), is independent of metrics and covariant derivatives, depends only on $[E] \in KO^0(M)$, and is a pin^+ bordism invariant.

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Key point: the shift by one implies the characteristic differential form that computes the variation has odd degree, so it vanishes

Example: (n=4) The pushforward $M \mapsto \check{\pi}_!^M(1)$ induces an isomorphism

$$\Omega_4^{\text{Pin}^+} \longrightarrow \frac{1}{16}\mathbb{Z} / \mathbb{Z}$$

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Problem: Produce topological formulas for $\xi_M(E)$ and $\xi_M(E)/2$

M-Theory from 11d supergravity

- Fields in M-theory (\mathcal{F}):
- ρ pin^+ structure
 - g Riemannian metric
 - ψ Rarita-Schwinger field
 - C local 3-form, field strength is global closed 4-form

SUPERGRAVITY THEORY IN 11 DIMENSIONS

E. CREMMER, B. JULIA and J. SCHERK

Laboratoire de Physique Théorique de l'Ecole Normale Supérieure⁺
Paris, France

Abstract : We present the action and transformation laws of supergravity in 11 dimensions which is expected to be closely related to the $O(8)$ theory in 4 dimensions after dimensional reduction.

LPTENS 78/10
March 1978

The Lagrangian we find is the following :

$$\begin{aligned} \mathcal{L} = & -\frac{V}{4k^2} R(\omega) - \frac{iV}{2} \bar{\psi}_\mu \gamma^{\mu\nu\rho} D_\nu \left(\frac{\omega + \bar{\omega}}{2} \right) \psi_\rho - \frac{V}{48} F_{\mu\nu\rho\sigma} F^{\mu\nu\rho\sigma} \\ & + \frac{KV}{192} \left(\bar{\psi}_\mu \gamma^{\mu\nu\alpha\beta\gamma\delta} \psi_\nu + 12 \bar{\psi}^\alpha \gamma^{\beta\gamma\delta} \psi^\beta \right) (F_{\alpha\beta\gamma\delta} + \bar{F}_{\alpha\beta\gamma\delta}) \\ & + \frac{2K}{(144)^2} \sum_{\alpha_1\alpha_2\alpha_3\alpha_4\beta_1\beta_2\beta_3\beta_4\mu\nu\rho} F_{\alpha_1\alpha_2\alpha_3\alpha_4} F_{\beta_1\beta_2\beta_3\beta_4} A_{\mu\nu\rho} \end{aligned}$$

$$F = dA$$

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Definition: Let M be a pin^+ manifold. An \mathfrak{m}_c structure on M is a w_1 -twisted integer lift of $w_4(M)$. Compare: spin^c structure = integer lift of $w_2(M)$

The Rarita-Schwinger anomaly

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This motivated us to find topological formulas for this invariant

Generators of the m_c bordism group

Theorem: The following six m_c -manifolds generate the group $\pi_{12}Mm_c \otimes \mathbb{Z}_2$:

$$(W'_0, c_0), \quad (W''_0, 0), \quad (W_1, \lambda)$$

$$(K \times \mathbb{H}\mathbb{P}^2, \lambda), \quad (\mathbb{R}\mathbb{P}^4, c_{\mathbb{R}\mathbb{P}^4}) \times B, \quad (\mathbb{R}\mathbb{P}^4 \# \mathbb{R}\mathbb{P}^4, 0) \times B.$$

K

$K3$ surface

$\mathbb{H}\mathbb{P}^2$

quaternionic projective plane

B

Bott manifold

$$\mathbb{H}\mathbb{P}^2 \# \mathbb{H}\mathbb{P}^2 \longrightarrow W'_0 \longrightarrow \mathbb{R}\mathbb{P}^4$$

$$S^4 \times (\mathbb{H}\mathbb{P}^2 \# \mathbb{H}\mathbb{P}^2) \xrightarrow{2:1} W'_0$$

$$\mathbb{R}\mathbb{P}^8 \longrightarrow W''_0 = \mathbb{P}(K_{\mathbb{R}}^{\oplus 2} \oplus \underline{\mathbb{R}}) \xrightarrow{\rho} S^4$$

$K_{\mathbb{R}} \rightarrow S^4$ generating \mathbb{H} -line bundle

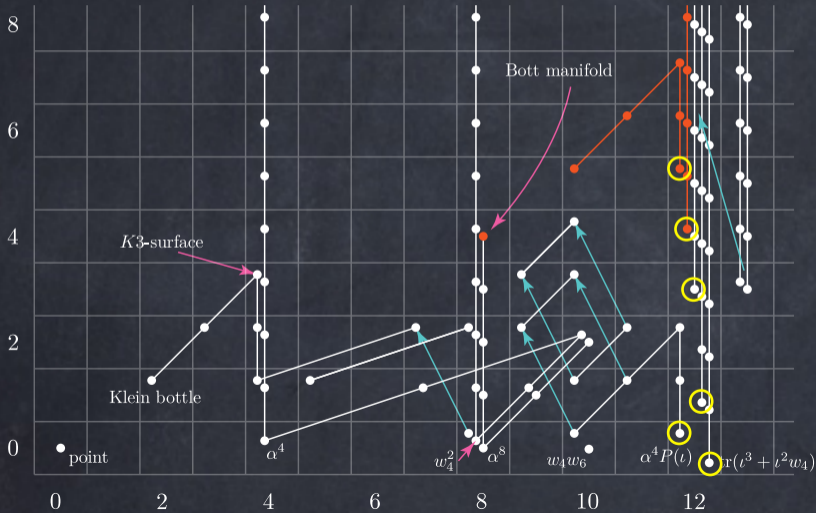
$$\mathbb{H}\mathbb{P}^2 \longrightarrow W_1 \longrightarrow \mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1$$

$$\mathcal{B}_{\text{SO}}(\mathcal{O}(1, 1)_{\mathbb{R}} \oplus \underline{\mathbb{R}} \rightarrow \mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1)$$

$$\text{SO}_3 \cong \mathbb{P}\text{Sp}_1 \curvearrowright \mathbb{H}\mathbb{P}^2$$

Adams spectral sequence

$$E_2^{s,t} = \text{Ext}_{\mathbf{A}}^{s,t}(H^* Mm_c, \mathbb{Z}/2\mathbb{Z}) \Rightarrow \pi_{t-s} Mm_c \otimes \mathbb{Z}_2$$



Topological computations of $\xi_M(E)/2$

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Method 2 (Stolz): $\pi: \widehat{M} \longrightarrow M$ orientation double cover with free orientation-reversing involution $\sigma: \widehat{M} \rightarrow \widehat{M}$. Suppose $\widehat{M} = \partial Z$, Z compact pin^+ , and $\pi^*E \longrightarrow \widehat{M}$ extends over Z , as does σ . If the extension has a finite set $\{f\}$ of fixed points then (based on [APS](#), [Donnelly](#))

$$\xi_M(E)/2 = \sum_f \frac{\epsilon_f \tau_f}{2^8}, \quad \epsilon_f = \pm 1, \quad \tau_f = \text{trace of involution on fiber}$$

Generators of the m_c bordism group

Theorem: The following six m_c -manifolds generate the group $\pi_{12}Mm_c \otimes \mathbb{Z}_2$:

$$(W'_0, c_0), \quad (W''_0, 0), \quad (W_1, \lambda)$$

$$(K \times \mathbb{H}\mathbb{P}^2, \lambda), \quad (\mathbb{R}\mathbb{P}^4, c_{\mathbb{R}\mathbb{P}^4}) \times B, \quad (\mathbb{R}\mathbb{P}^4 \# \mathbb{R}\mathbb{P}^4, 0) \times B.$$

K

$\mathbb{H}\mathbb{P}^2$

B

$$\mathbb{H}\mathbb{P}^2 \# \mathbb{H}\mathbb{P}^2 \longrightarrow W'_0 \longrightarrow \mathbb{R}\mathbb{P}^4$$

$$\mathbb{R}\mathbb{P}^8 \longrightarrow W''_0 = \mathbb{P}(K_{\mathbb{R}}^{\oplus 2} \oplus \underline{\mathbb{R}}) \xrightarrow{\rho} S^4$$

$$\mathbb{H}\mathbb{P}^2 \longrightarrow W_1 \longrightarrow \mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1$$

problem child

$K3$ surface

quaternionic projective plane

Bott manifold

$$S^4 \times (\mathbb{H}\mathbb{P}^2 \# \mathbb{H}\mathbb{P}^2) \xrightarrow{2:1} W'_0$$

$K_{\mathbb{R}} \rightarrow S^4$ generating \mathbb{H} -line bundle

$$\mathcal{B}_{\text{SO}}(\mathcal{O}(1, 1)_{\mathbb{R}} \oplus \underline{\mathbb{R}} \rightarrow \mathbb{C}\mathbb{P}^1 \times \mathbb{C}\mathbb{P}^1)$$

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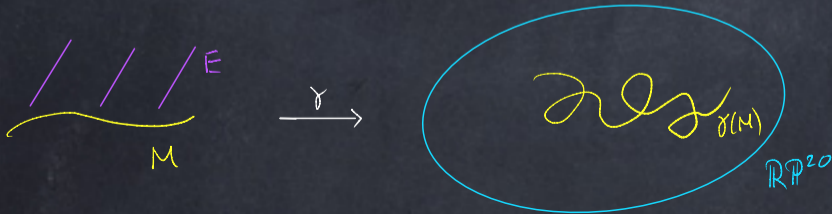
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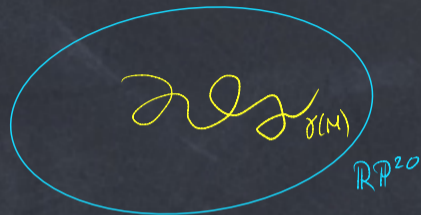
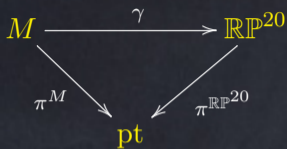
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Problem: Give a topological proof of [Theorem](#)

Possible approach



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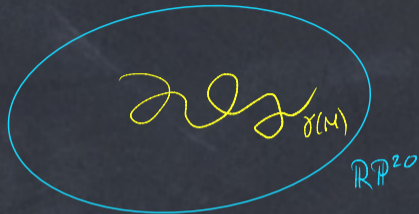
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This formulation illustrates the interplay of differential and topological aspects of differential cohomology, and should be an instance of a more general principle

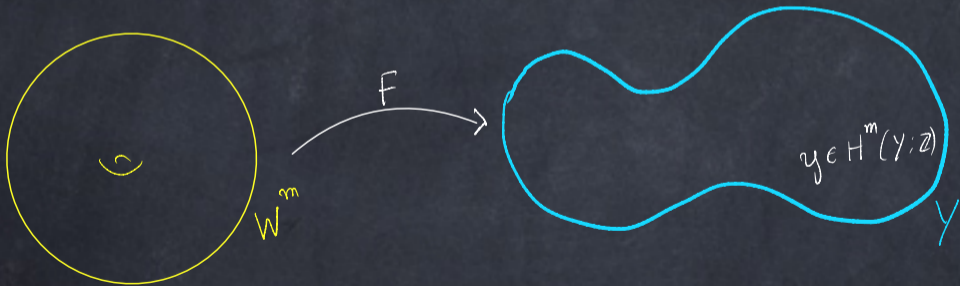
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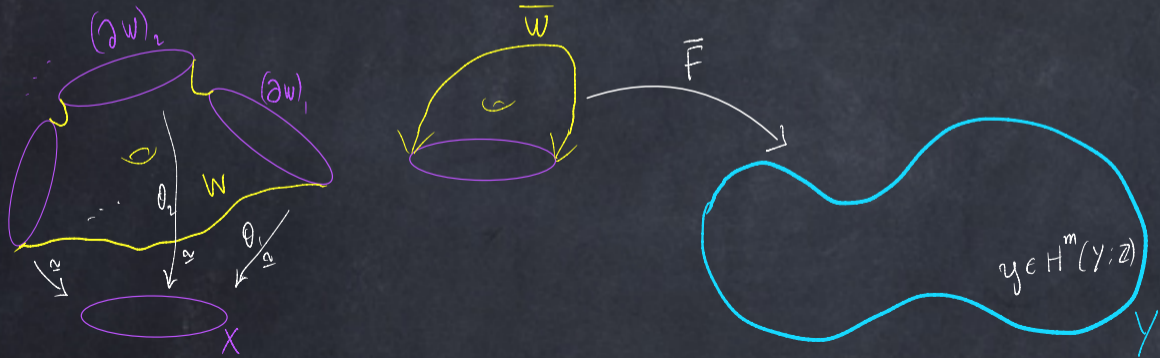


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Richard and I proved a $\mathbb{Z}/k\mathbb{Z}$ analog of the Atiyah–Singer index theorem that equates a-ind and t-ind for symbols of elliptic operators; it can be used to compute $\mathbb{Z}/k\mathbb{Z}$ -periods of a K -theory class (Higson gave an alternative proof using C^* -algebras)

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Combine the mod k index theorem with Atiyah-Patodi-Singer for pin^+ manifolds to obtain

Theorem: $\xi_M(E)/2 = \pi_!([E])$

Happy Birthday, Richard!