

# 2d TQFT's and partial fractions

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(joint with M.Khovanov and  
Y.Kononov)

★ Definition (M. Atiyah): A TQFT is a symmetric tensor functor  $\text{Cob}_d \rightarrow \text{Vec}$ . *d-dim!*  
*make more general*

Generalization: replace  $\text{Vec}$  by a symmetric tensor category  $\mathcal{C}$

$\mathcal{C}$ -valued TQFT: symmetric tensor functor  $\text{Cob}_d \rightarrow \mathcal{C}$ .

Examples:  $\mathcal{C} = \text{Vec}$ ,  $s\text{Vec}$ ,  $\text{Rep}(G)$  etc

Today:  $d=2$ !

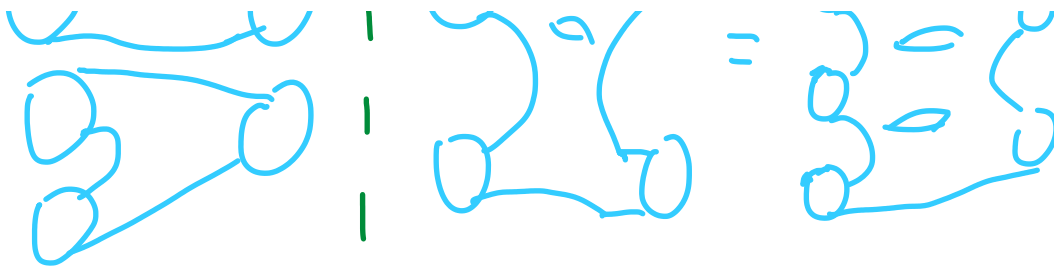
Category of cobordisms  $\text{Cob}_d$ : *d=2* *000*

Objects:  $(d-1)$ -dimensional closed oriented manifolds

Morphisms:  $d$ -dimensional oriented cobordisms

Composition: gluing



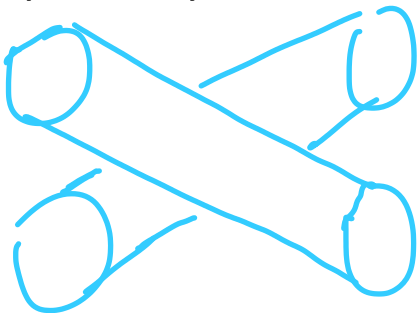


Identity morphisms=?

Tensor product: disjoint union

Unit object=?

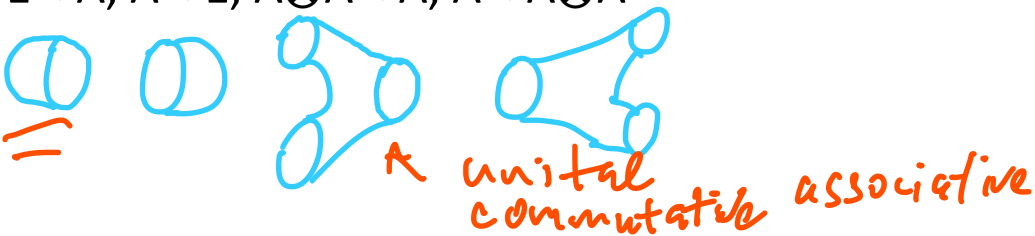
Symmetry:



Some important objects of  $\text{Cob}_2$ :  
empty set=1 and circle=A

Some important morphisms:

$1 \rightarrow A$ ,  $A \rightarrow 1$ ,  $A \otimes A \rightarrow A$ ,  $A \rightarrow A \otimes A$



Composition  $A \otimes A \rightarrow A \rightarrow 1$  is non-degenerate



★ Thus  $A$  is a commutative Frobenius object in  $\text{Cob}_2$ :

commutative associative unital monoid equipped with a map  $A \rightarrow 1$  such that the composition  $A \otimes A \rightarrow A \rightarrow 1$  is a non-degenerate pairing.

Theorem (R.Dijkgraaf + folklore):  $\text{Cob}_2$  is free category generated by the commutative Frobenius object  $A$

Corollary:  $C$ -valued 2d TQFT's = Functors  $\text{Cob}_2 \rightarrow C =$  commutative Frobenius objects in  $C$ .

★ TQFT output: values at closed  $d$ -manifolds — elements of



Linear setup: choose a field  $k$

$C$  —  $k$ -linear category

$\text{Hom}_C(1,1) = k$

Frobenius object = Frobenius algebra

TQFT output: sequence  $\alpha_0, \alpha_1, \alpha_2, \dots$  of elements of  $k$

★ Main Question: Which sequences we will observe?

Answer 1: all sequences appear.

Take  $C =$  linearized  $\text{Cob}_2$  modulo relations



etc  
Hom's are  $\infty$  dim'l

- ★ Realization of sequence  $\alpha = (\alpha_0, \alpha_1, \alpha_2, \dots)$  : pair  $(C, A)$  such that the corresponding TQFT outputs  $\alpha$ .

Realization is finite if Hom spaces in  $C$  are finite dimensional.

*"eventually recurrent"*

Answer 2 (M.Khovanov): sequence  $\alpha$  admits a finite realization if and only if it is linearly recursive, that is the generating function  $Z(T) = \alpha_0 + \alpha_1 T + \alpha_2 T^2 + \dots$  is rational.

★  $x = F(\text{loop}) \in \text{Hom}_C(A, A)$

$1; x, x^2, x^3, \dots$  are linearly dependent

e.g.  $x^3 - 2x^4 + 3x^5 = 0$

hence  $x^n - 2x^{n+1} + 3x^{n+2} = 0$  for  $n \geq 3$

hence  $\alpha_n - 2\alpha_{n+1} + 3\alpha_{n+2} = 0$  for  $n \geq 3$

$\alpha_n = F(\text{loop with } n \text{ crossings}) = F(\text{loop})^n = x^n$

*Khovanov - Seidel*

Existence: some quotients  $\text{Cob}_2(\alpha)$  of linearized  $\text{Cob}_2$ .

- ★ Realization is abelian if  $C$  is abelian, rigid, with finite dimensional Hom spaces.

Answer 3 (M.Khovanov, Y.Kononov, V.O.): sequence  $\alpha$  admits an abelian realization if and only if it is

1) linearly recursive,  $Z(T) = \frac{P(T)}{Q(T)}$  with relatively prime  $P(T)$  and  $Q(T)$ .

2)  $Q(T)$  has no multiple roots (in  $\overline{k}$ ) and  $\deg P \leq \deg Q + 1$ .

Thus  $Z(T) = \delta_0 + \delta_1 T + \sum_i \frac{\beta_i}{1 - \gamma_i T}$  *↑ end in char  $k=0$*

3) If  $\text{char } k = p > 0$  then  $\delta_i$  and  $\beta_i \gamma_i$  (for all  $i$ ) are in the prime subfield  $F_p \subset k$

Remark: conditions 2) and 3) can be expressed in terms of 1-form  $Z(T) \frac{dT}{T^2}$ :

2. all its poles are simple except, possibly, at  $T=0$

3. All its residues are in  $F_p$

★ Examples:

1)  $\alpha = 1, 1, 1, 1, \dots$ . Thus  $Z(T) = \frac{1}{1-T}$  and  $\alpha$  has an abelian realization over any field.

*$C = \text{Vec}$   
 $A = k, A \rightarrow k \text{ id}$*

2)  $\alpha = 1, 2, 3, 4, 5, \dots$ . Thus  $Z(T) = \frac{1}{(1-T)^2}$  and  $\alpha$  has no abelian realization over any field

3)  $\alpha = 1, 2, 1, 2, 1, 2, \dots$ . Thus  $Z(T) = \frac{1+2T}{1-T^2} = \frac{\frac{3}{2}}{1-T} + \frac{-\frac{1}{2}}{1+T}$ , so  $\alpha$  has an abelian realization over any field of characteristic not 2.

4)  $\alpha = 1, 1, 2, 3, 5, 8, 13, \dots$ . Thus  $Z(T) = \frac{1}{1-T-T^2}$

$\alpha$  has an abelian realization:  $p=0, 11, 19, 29, 31, 41,$

$\alpha$  has no abelian realization:  $p=2,3,5,7,13,17,23,37$

Note that:  $\alpha=-1,2,1,3,4,7,11,\dots$  has an abelian realization over any field.

★ Remark: abelian realization in characteristic  $p>0$  implies a realization with  $C=\text{Vec}$ . This is NOT the case in characteristic 0. Deligne categories (like  $\text{Rep}(S_t)$ ) of super-exponential growth are needed.

Example:

1)  $\alpha=1,2,1,2,1,2,\dots$  so  $Z(T)=\frac{\frac{3}{2}}{1-T} + \frac{-\frac{1}{2}}{1+T}$  ; realization of  $\alpha$  requires  $\text{Rep}(S_t)$  with  $t=3/2$  and  $t=1/2$ .

2)  $\alpha=3,1,3,1,3,1,\dots$  Here  $Z(T)=\frac{2}{1-T} + \frac{1}{1+T}$  ; realization of  $\alpha$  requires  $\text{Rep}(S_{-1})$ .

★ Answer 4 (well known?) Assume  $p=0$  and  $Z(T)=\delta_0 + \delta_1 T + \sum_i \frac{\beta_i}{1-\gamma_i T}$

Sequence  $\alpha$  admits an abelian realization of exponential growth if and only if  $\delta_1$  is an integer and  $\beta_i \gamma_i$  (for all  $i$ ) are integers  $>0$ .

This implies a realization with  $C=\text{sVec}$ .

Sequence  $\alpha$  admits an realization with  $C=\text{Vec}$  if and only if  $\delta_1$  is an integer  $>1$  and  $\beta_i \gamma_i$  (for all  $i$ ) are integers  $>0$ .

- ★ Crucial tool: quotients by negligible morphisms (“gligible” quotients) aka “semisimplifications”.

Theorem of Andre-Kahn (abstract version of Jannsen theorem) implies:

Corollary:  $\alpha$  admits an abelian realization if and only if the gligible quotient of  $\text{Cob}_2(\alpha)$  is semisimple.

Important computation: compute semisimplifications of  $\text{Cob}_2(\alpha)$ .

- ★ Results ( $k$  algebraically closed):

1) if  $Z(T)$  = sum of partial fractions then the gligible quotient is a product of quotients for each summand.

Thus if  $Z(T) = \delta_0 + \delta_1 T + \sum_i \frac{\beta_i}{1 - \gamma_i T}$  we need to consider only the following cases:

2) If  $Z(T) = \frac{\beta}{1 - \gamma T}$  then the semisimplification of  $\text{Cob}_2(\alpha)$

is semisimplification of  $\text{Rep}(S_t)$  with  $t = \beta\gamma$  (exceptional values of  $t$ : non-negative integers)

3) if  $Z(T) = \delta_0 + \delta_1 T$  with  $\delta_1 \neq 0$  then the semisimplification of  $\text{Cob}_2(\alpha)$  is semisimplification of  $\text{Rep}(O_t)$  with  $t = \delta_1 - 2$  (exceptional values of  $t$ : integers)

4) if  $Z(T) = \delta_0$  then the semisimplification of  $\text{Cob}_2(\alpha)$  is  $\text{Rep}(\text{osp}(1|2))$  or, in characteristic  $p$ , a semisimplification

Example:  $Z(T)=1+2T$ . In characteristic  $\neq 2$  we get  $C=\text{Vec}$  and  $A=k[x]/x^2$ . In characteristic 2 we get  $C=\mathbb{Z}/2\mathbb{Z}$ -graded vector spaces and  $A=k[x]/(x^2-1)^2$ .

Exercise: Repeat the story above for  $d=1$ .

Exercise\*: Same with  $d=3$ .