

Unipotent Representations of Real Reductive Groups

Lucas Mason-Brown

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- 2 Orbit Method
- 3 Unipotent Representations
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- 5 Principal Unipotent Representations

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Problem of Unitary Dual

- $G_{\mathbb{R}}$ = real reductive group

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- $G_{\mathbb{R}}$ = real reductive group
- Examples: $GL_n(\mathbb{R})$, $GL_n(\mathbb{C})$, $Sp(2n, \mathbb{R})$, $SO(n, \mathbb{R})$

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 $\pi : G_{\mathbb{R}} \rightarrow U(H)$

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Problem (Gelfand, 1930s)

Determine $\widehat{G}_{\mathbb{R}} := \{\text{irr unitary reps of } G_{\mathbb{R}}\}$ for arbitrary $G_{\mathbb{R}}$

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- Answer known for: connected compact groups (Weyl, 1920s), $SL_2(\mathbb{R})$ (Bargmann, 1947), $GL_n(\mathbb{R})$, $GL_n(\mathbb{C})$, $GL_n(\mathbb{H})$ (Vogan, 1986), complex classical groups (Barbasch, 1989), some low-rank groups...

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- Also known: algorithm for $\widehat{G_{\mathbb{R}}}$ (Atlas, 2000s)

Orbit Method

- Let $\mathfrak{g}_{\mathbb{R}} = \text{Lie}(G_{\mathbb{R}})$

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Orbit Method

- Let $\mathfrak{g}_{\mathbb{R}} = \text{Lie}(G_{\mathbb{R}})$
- Big idea (Kostant, Kirillov):

Conjecture (Orbit Method)

Should be a correspondence

$$\{G_{\mathbb{R}} - \text{orbits on } \mathfrak{g}_{\mathbb{R}}^*\} \longleftrightarrow \widehat{G}_{\mathbb{R}}$$

approximately a bijection

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- LHS = symplectic manifolds (with $G_{\mathbb{R}}$ -action)
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approximately a bijection

- LHS = symplectic manifolds (with $G_{\mathbb{R}}$ -action)
- RHS = hilbert spaces (with $G_{\mathbb{R}}$ -action)
- Right arrow: geometric quantization, left arrow: classical limit

Orbit Method

Example ($GL_n(\mathbb{R})$)

- Let $p = (p_1, \dots, p_k) =$ partition of n , and
 $\nu = (\nu_1, \dots, \nu_k) \in \mathbb{R}^k$

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- Orbit Method:

$$\mathcal{O}(p, \nu) \rightsquigarrow \text{Ind}_{GL(p_1) \times \dots \times GL(p_k)}^{GL(n)} \det^{i\nu_1} \otimes \dots \otimes \det^{i\nu_k}$$

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- RHS: 'looks like' functions on $\mathcal{O}(p, \nu)$
- Caveats:

more complicated when $\mathcal{O}(p, \nu)$ nilpotent
 $\mathcal{O}(p, \nu) \implies$ compact quotient $\mathcal{O}(p, \nu) / \sim$
functions $\implies L^2$ sections of Hermitian line bundle

Unipotent Representations: Intuition

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- Kostant, Kirillov, ..., Vogan, Zuckerman: can attach irred unitary reps to *semisimple* orbits (parabolic and cohomological induction)

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- Kostant, Kirillov, ..., Vogan, Zuckerman: can attach irred unitary reps to *semisimple* orbits (parabolic and cohomological induction)
- Problem of unitary dual 'reduces' to

Problem

Find a natural correspondence

$$\mathcal{O} = \text{nilptnt orbit} \rightsquigarrow \text{Unip}(\mathcal{O}) = \text{fin set of irred unitary reps}$$

Unipotent Representations: Arthur

- Let G, \mathfrak{g} be complexifications, $\mathcal{N} \subset \mathfrak{g}$ nilpotent cone

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- Let G, \mathfrak{g} be complexifications, $\mathcal{N} \subset \mathfrak{g}$ nilpotent cone
- Form Langlands dual $G^\vee, \mathfrak{g}^\vee, \mathcal{N}^\vee \subset \mathfrak{g}^\vee$

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- Lusztig, Spaltenstein, Barbasch-Vogan

$$d : \mathcal{N}^\vee / G^\vee \rightarrow \mathcal{N} / G$$

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$$d : \mathcal{N}^\vee / G^\vee \rightarrow \mathcal{N} / G$$

- Dual orbit \mathcal{O}^\vee determines infl char for G :

$$\mathcal{O}^\vee \mapsto (e^\vee, f^\vee, h^\vee) \mapsto \frac{1}{2}h^\vee \in \mathfrak{h}^\vee \simeq \mathfrak{h}^*$$

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- And a maximal ideal

$$I(\mathcal{O}^\vee) = I\left(\frac{1}{2}h^\vee\right) \subset U(\mathfrak{g})$$

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Definition (Arthur, Barbasch-Vogan)

$$\text{Unip}^a(\mathcal{O}) = \{X \text{ irred} \mid \text{Ann}(X) = I(\mathcal{O}^\vee), d(\mathcal{O}^\vee) = \mathcal{O}\}$$

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Example

$$\text{If } \mathcal{O} = \{0\}, \text{ then } \text{Unip}^a(\mathcal{O}) = \{1 - \dim \text{ reps}\}$$

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Example

If $\mathcal{O} = \{0\}$, then $\text{Unip}^a(\mathcal{O}) = \{1 - \dim \text{ reps}\}$

Example

If $\mathcal{O} = \text{principal}$, then $\text{Unip}^a(\mathcal{O}) = \{\text{irred reps of infl char } 0\}$.
Includes prin series $\text{Ind}_{B_{\mathbb{R}}}^{G_{\mathbb{R}}} \mathbb{C}$.

Unipotent Representations: Arthur

Arthur's definition is *too restrictive*:

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Unipotent Representations: Arthur

Arthur's definition is *too restrictive*:

1 Big problem: $\text{Unip}^a(\mathcal{O}) = \emptyset$ unless \mathcal{O} is special

Example

Let $G_{\mathbb{R}} = \text{Sp}(2n, \mathbb{C})$. Extremely important unitary rep V called *oscillator representation*. Known: V attached to minimal nilpotent orbit (quantization of reg functions).

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Example

Let $G_{\mathbb{R}} = Sp(2n, \mathbb{C})$. Extremely important unitary rep V called *oscillator representation*. Known: V attached to minimal nilpotent orbit (quantization of reg functions).

2 Smaller problem: $\text{Unip}^a(\mathcal{O})$ is too small, even when \mathcal{O} is special.

Example

Let $G_{\mathbb{R}} = SL_n(\mathbb{C})$. Then $\text{Unip}^a(\mathcal{O}^{\text{prin}})$ consists of infl char $= 0$. Unitary rep of infl char $(\frac{n-1}{2n}, \frac{n-3}{2n}, \dots, \frac{1-n}{2n})$ attached to $\mathcal{O}^{\text{prin}}$, qzation of universal cover.

Unipotent Representations: Vogan

- If $I \subset U(\mathfrak{g})$,

$$\begin{aligned}U(\mathfrak{g})/I &= \text{fin gen } U(\mathfrak{g}) - \text{mod} \\ \text{gr}(U(\mathfrak{g})/I) &= \text{fin gen } S(\mathfrak{g}) - \text{mod} \\ V(I) &:= \text{Supp}(\text{gr}(U(\mathfrak{g})/I)) \subset \mathfrak{g}^*\end{aligned}$$

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- Joseph: If I primitive, $V(I) = \overline{\mathcal{O}}$.

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Definition (Vogan)

Infl char λ is *weakly unipotent* for \mathcal{O} if *rational* for wt lattice and

$$\lambda = \min\{\mu \in \lambda + \text{wt lattice} : V(I(\mu)) = \overline{\mathcal{O}}\}$$

Then

$$\text{Unip}^w(\mathcal{O}) = \{X \text{ irred} \mid \text{Ann}(X) = I(\lambda), \lambda = \text{wkly unptnt}\}$$

Unipotent Representations: Vogan

Example

Let $G_{\mathbb{R}} = SL_2(\mathbb{R})$. Then

$$\text{weight lattice} = \mathbb{Z}$$

$$\text{roots} = \{\pm 2\}$$

$$\text{fundamental chamber} = \mathbb{R}_{\geq 0}$$

$$V(I(\lambda)) = \begin{cases} \{0\} & \text{if } \lambda \in \{1, 2, 3, \dots\} \\ \mathcal{N} & \text{else} \end{cases}$$

Hence

$$\text{Unip}^w(\mathcal{O}^{\text{prin}}) \iff \lambda \in [0, \frac{1}{2}] \cap \mathbb{Q}$$

$$\text{Unip}^w(0) \iff \lambda = 1$$

Unipotent Representations: Vogan

Vogan's definition is *too broad*:

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Vogan's definition is *too broad*:

- Problem: typically $\#\text{Unip}^w(\mathcal{O}) = \infty$.

Example

If $G_{\mathbb{R}} = SL_2(\mathbb{R})$, $\mathcal{O} = \text{principal}$, weak unipotent infl chars for \mathcal{O} are: $[0, \frac{1}{2}] \cap \mathbb{Q}$.

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- Problem: many reps are non-unitary

Example

If $G_{\mathbb{R}} = SL_2(\mathbb{R})$, two irreps at infl char $\frac{1}{2}$. One unitary, one non-unitary.

Unipotent Representations: Losev, Mason-Brown, Matvieievskiy

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- Let $\tilde{\mathcal{O}} \rightarrow \mathcal{O}$ be a G -equivariant covering

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- Let $\tilde{\mathcal{O}} \rightarrow \mathcal{O}$ be a G -equivariant covering
- **Losev, Matvieievskyi, Namikawa**: classification of quantizations of $\mathbb{C}[\tilde{\mathcal{O}}]$

Unipotent Representations: Losev, Mason-Brown, Matvieievskiy

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- **L-MB-M**:

$$J(\tilde{\mathcal{O}}) := \ker (U(\mathfrak{g}) \rightarrow \mathcal{A}(\tilde{\mathcal{O}}))$$

maximal, completely prime

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Definition (L-MB-M)

$$\text{Unip}(\mathcal{O}) = \{X \text{ irred} \mid \text{Ann}(X) = J(\tilde{\mathcal{O}}) \text{ for some } \tilde{\mathcal{O}} \rightarrow \mathcal{O}\}$$

Unipotent Representations: Losev, Mason-Brown, Matvieievskyi

Theorem (L-MB-M)

In classical types,

$$\mathrm{Unip}^a(\mathcal{O}) \subset \mathrm{Unip}(\mathcal{O}) \subset \mathrm{Unip}^w(\mathcal{O})$$

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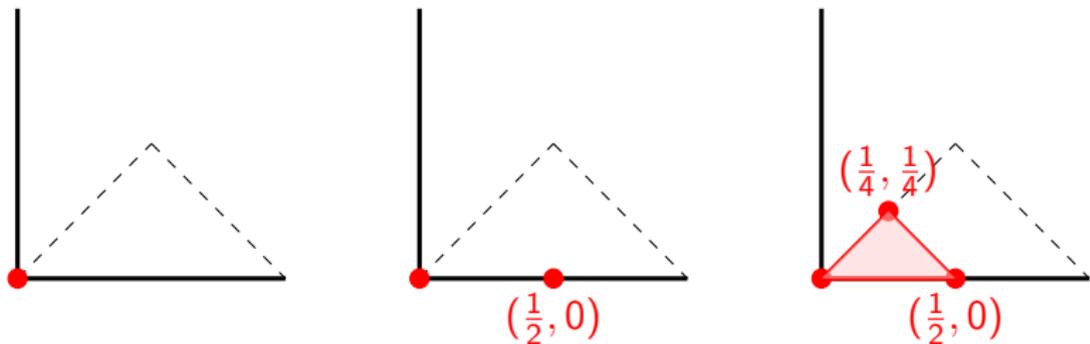
Unipotent Representations: Losev, Mason-Brown, Matvieievskiy

Theorem (L-MB-M)

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$\mathrm{Unip}^*(\mathcal{O}^{\mathrm{prin}})$ for $Sp(4, \mathbb{R})$:



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- Let $K_{\mathbb{R}} = \max$ cmptct subgrp
- Irreps of $K_{\mathbb{R}}$ parameterized by int dom weights. If X is a nice representation of $G_{\mathbb{R}}$, get a 'multiplicity' function

$$\text{mult} : \widehat{K}_{\mathbb{R}} \rightarrow \mathbb{Z}_{\geq 0}$$

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- Powerful invariant: rate of growth determines size of representation (i.e. Gelfand-Kirillov dimension) and shape (i.e. associated variety)

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Overview of
Thesis

Principal
Unipotent
Representations

- Let $K_{\mathbb{R}} = \max$ cmptct subgrp
- Irreps of $K_{\mathbb{R}}$ parameterized by int dom weights. If X is a nice representation of $G_{\mathbb{R}}$, get a 'multiplicity' function

$$\text{mult} : \widehat{K_{\mathbb{R}}} \rightarrow \mathbb{Z}_{\geq 0}$$

- Powerful invariant: rate of growth determines size of representation (i.e. Gelfand-Kirillov dimension) and shape (i.e. associated variety)
- In my thesis I study restriction to $K_{\mathbb{R}}$ of unipotent representations

Overview of Thesis

Lusztig-Spaltenstein:

$$Q = LU \subset G \quad \text{Ind}_L^G : \mathcal{O}_\mathfrak{l} \mapsto \mathcal{O}_\mathfrak{g} =: \text{dense orbit in } G(\mathfrak{u} + \mathcal{O}_\mathfrak{l})$$

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$$G \times_Q (\mathfrak{u} + \mathcal{O}_l) \rightarrow \overline{\mathcal{O}}_g$$

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- 3 If \mathcal{O}_g is **principal**, complete classification of $\text{Unip}^a(\mathcal{O}_g)$ and determination of K -types

Principal Unipotent Reps

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Let

$$\theta = \text{Cartan involution} \quad K = G^\theta \quad \mathfrak{g} = \mathfrak{k} \oplus \mathfrak{p}$$

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$$S(G_{\mathbb{R}}) := \text{Ind}_{B_{\mathbb{R}}}^{G_{\mathbb{R}}} \mathbb{C}$$

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- **Kostant:**

$$S(G_{\mathbb{R}}) \simeq_K \mathbb{C}[\mathcal{N} \cap \mathfrak{p}]$$

Principal Unipotent Reps

Definition

A unipotent parameter for $\mathcal{O}^{\text{prin}}$ is a pair (\mathfrak{q}, χ) , where

- $\mathfrak{q} = \mathfrak{l} \oplus \mathfrak{u}$ is a θ -stable parabolic
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Theorem (MB)

There is a bijection

$$\text{Param}(\mathcal{O}^{\text{prin}})/K \simeq \text{Unip}^a(\mathcal{O}^{\text{prin}}) \quad (\mathfrak{q}, \chi) \mapsto \text{CohInd}_{\mathfrak{q}}^{\mathfrak{g}}(\chi \otimes S)$$

Principal Unipotent Reps: Proof Sketch

- **Harish-Chandra:** category equivalence

$$\text{HC} : \text{Rep}^\lambda(G_{\mathbb{R}}) \simeq M^\lambda(\mathfrak{g}, K)$$

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- **Harish-Chandra:** category equivalence

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- Can define sheaf \mathcal{D}^λ of twisted diff ops on \mathcal{B} and

$$M(\mathcal{D}^\lambda, K) = K\text{-equivariant } \mathcal{D}^\lambda\text{-modules on } \mathcal{B}$$

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- **Beilinson-Bernstein:** quotient functor

$$\Gamma : M(\mathcal{D}^\lambda, K) \twoheadrightarrow M^\lambda(\mathfrak{g}, K)$$

Equivalence if λ regular.

Principal Unipotent Reps: Proof Sketch

- Hence:

$$\mathrm{Unip}^a(\mathcal{O}^{\mathrm{prin}}) \simeq \{\text{irred } \mathcal{M} \in M(\mathcal{D}^0, K) : \Gamma(\mathcal{M}) \neq 0\}$$

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- **Beilinson-Bernstein:**

$$\begin{aligned} \{\text{irreds in } M(\mathcal{D}^0, K)\} &\simeq \{(Z \xrightarrow{K\text{-orbt}} \mathcal{B}, \gamma \xrightarrow{\text{loc sys}} Z)\} \\ &\text{irred subsheaf of } j_! \gamma \leftarrow (Z, \gamma) \quad j : Z \subset \mathcal{B} \end{aligned}$$

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irred subsheaf of $j_! \gamma \leftarrow (Z, \gamma) \quad j : Z \subset \mathcal{B}$

- Choose a base point:

$$\{(Z, \gamma)\} \simeq \{(\mathfrak{b} \subset \mathfrak{g}, \chi \in \widehat{H_{\mathbb{R}}}) : d\chi = -\rho(\mathfrak{n})\} / K$$

and

$$\Gamma(\mathcal{B}, j_! \gamma) \simeq \mathrm{Ind}_{\mathfrak{b}, H \cap K}^{\mathfrak{g}, K} \chi$$

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- The non-vanishing condition $\Gamma(\mathcal{B}, j_i \gamma) \neq 0$ imposes a constraint in each simple root direction

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- These conditions precisely guarantee: (\mathfrak{b}, χ) comes from a unipotent parameter (\mathfrak{q}, χ)

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- The non-vanishing condition $\Gamma(\mathcal{B}, j_! \gamma) \neq 0$ imposes a constraint in each simple root direction
- These conditions precisely guarantee: (\mathfrak{b}, χ) comes from a unipotent parameter (\mathfrak{q}, χ)
- In this case, $j_! \gamma$ is *irreducible* and

$$\begin{aligned}\Gamma(\mathcal{B}, j_! \gamma) &= \text{Ind}_{\mathfrak{b}, H \cap K}^{\mathfrak{g}, K} \chi \\ &= \text{Ind}_{\mathfrak{q}, L \cap K}^{\mathfrak{g}, K} \text{Ind}_{\mathfrak{b}, H \cap K}^{L, L \cap K} \chi \\ &= \text{CohInd}_{\mathfrak{q}}^{\mathfrak{g}} \chi \otimes S(L_{\mathbb{R}})\end{aligned}$$

Principal Unipotent Reps: K -types

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- Nice feature of this classification: K -structure is 'visible'

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- **Kostant**: $S \simeq_K \mathbb{C}[\mathcal{N} \cap \mathfrak{p}]$

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- **Blattner**: gives K -structure of cohomological induction

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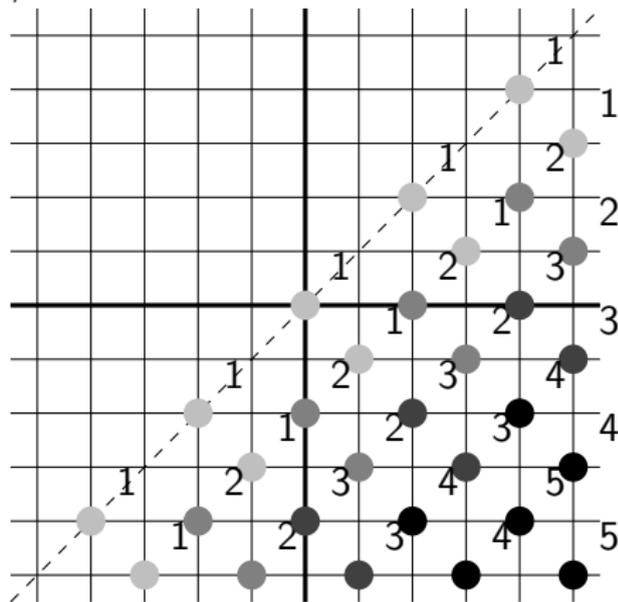
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- **Kostant**: $S \simeq_K \mathbb{C}[\mathcal{N} \cap \mathfrak{p}]$
- **Blattner**: gives K -structure of cohomological induction
- Combined: we get nice formulas for K -types of $\text{Unip}^a(\mathcal{O}^{\text{prin}})$ which are computable using Borel-Weil-Bott

Principal Unipotent Reps: $Sp(4, \mathbb{R})$

Five elements of $\text{Unip}^a(\mathcal{O}^{\text{prin}})$: principal series S , two limit of discrete series, and two 'in-between'



Spherical principal series (quantization of $\mathcal{N} \cap \mathfrak{p}$)

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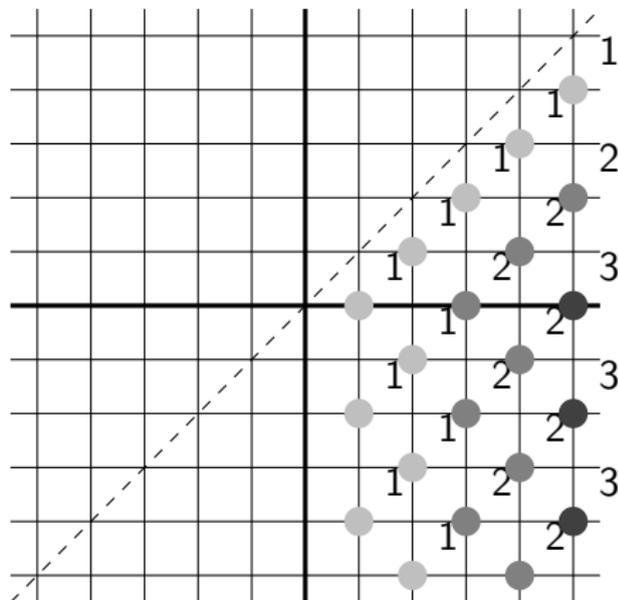
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Limit of discrete series (quantization of one irred component of $\mathcal{N} \cap \mathfrak{p}$)

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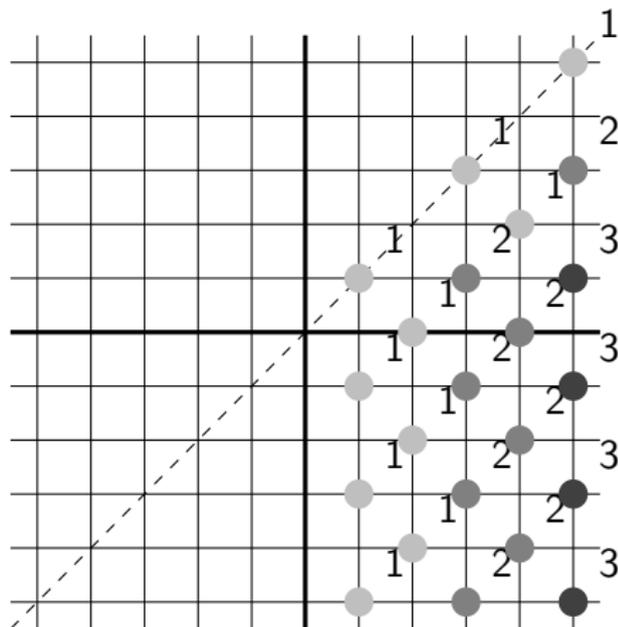
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Cohomologically induced from spherical principal series of Segal parabolic (quantization of one irred component of $\mathcal{N} \cap \mathfrak{p}$)