Dirac Cohomology, Orbit Method and Unipotent Representations Dedicated to Bert Kostant with great admiration

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Jordan decomposition

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unipotent representations  $\rightarrow$  nilpotent orbits

Coadjoint orbits for  $GL(n, \mathbb{R})$ 

 $G = GL(n, \mathbb{R})$ , its Lie algebra

$$\mathfrak{g}_0 = \mathfrak{gl}(n, \mathbb{R}) = \{n \text{ by } n \text{ real matrices} \}.$$

The adjoint action

$$Ad(g)(X) = gXg^{-1}$$

The invariant trace from

$$\langle X, Y \rangle = \operatorname{tr} XY$$

defines an identification

 $\mathfrak{gl}(n,\mathbb{R})^*\longleftrightarrow\mathfrak{gl}(n,\mathbb{R})$ , and  $f\mapsto X(f)$  is defined by

$$f(Y) = \langle X(f), Y \rangle.$$

It sends coadjoint orbits to adjoint orbits.

## Jordan decomposition

**Def** Suppose  $X \in \mathfrak{gl}(n, \mathbb{R})$ . We say that X is *nilpotent* if  $X^k = 0$  for some k; *semisimple* if X is diagonalizable over  $\mathbb{C}$ ; *elliptic* if X is diagonalizable and all eigenvalues are imaginary; *hyperbolic* if X is diagonalizable and all eigenvalues are real.

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**Prop** Given  $X \in \mathfrak{gl}(n, \mathbb{R})$ , there are unique  $X_h, X_e, X_n$ , s.t.

- $1) X = X_h + X_e + X_n.$
- 2)  $X_h$  is hyperbolic,  $X_e$  is elliptic, and  $X_n$  is nilpotent.
- 3)  $X_h, X_e$  and  $X_n$  all commute with each other.
- 4) If Y commutes with X, then it commutes with  $X_h, X_e$  and  $X_n$ .

The Cartan involution for  $GL(n, \mathbb{R})$  is the automorphism

$$heta(g) = {}^tg^{-1}.$$

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**Def** A Lie group G (having finitely many components) is called *reductive*, if there is a homomorphism  $\eta: G \to GL(n, \mathbb{R})$ , s.t.

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Let  $\mathfrak{g}_0 = \mathfrak{k}_0 + \mathfrak{s}_0$  and  $\mathfrak{g} = \mathfrak{k} + \mathfrak{s}$  be the Cartan decompositions.

Use the trace form to identify  $\mathfrak{g}_0^*$  with  $\mathfrak{g}_0$ .

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**Prop** Suppose G is are real reductive group, and X is in  $\mathfrak{g}_0$ .

- 1) The Jordan components  $X_h, X_e, X_n$  are in  $\mathfrak{g}_0$ .
- 2) If X is hyperbolic, then it is conjugate to an element in  $\mathfrak{s}_0$ .

3) If X is elliptic, then it is conjugate to an element in  $\mathfrak{k}_0$ .

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#### Def (Jordan Decomposition)

Let  $X(f) = X(f)_h + X(f)_e + X(f)_n$  be the Jordan decomposition. Then the corresponding

$$f = f_h + f_e + f_n$$

is defined to be the Jordan decomposition of f.

# Orbit method for reductive groups (Vogan [ICM 1986]) Suppose that $f \in \mathfrak{g}_0^*$ .

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We may replace f by a conjugate, and get

$$\theta f_h = -f_h, \ \theta f_e = f_e, \ \text{and}$$

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 $G(f_h)$ ,  $G(f_e)$  and  $G(f_s) = G(f_h) \cap G(f_e)$  are preserved by  $\theta$ . Since  $X_e$  and  $X_n$  commute with  $X_h$ , and so belong to  $\mathfrak{g}(f_h)$ , we can identify  $f_e$  and  $f_n$  (by restriction) with elements of  $\mathfrak{g}(f_h)^*$ . Thus,

$$G(f_h) \supset [G(f_h)](f_e) \supset \{[G(f_h)](f_e)\}(f_n);$$

these are the same groups as

$$G(f_h) \supset G(f_s) \supset G(f).$$

$$\widehat{G(f)} \to \widehat{G(f_s)} \to \widehat{G(f_h)} \to \widehat{G}.$$

# Hyperbolic step: parabolic induction

Fix  $f_h \in \mathfrak{g}_0^*$  hyperbolic, let  $X_h$  be the corresponding element in  $\mathfrak{g}_0$ .

We have 
$$\mathfrak{g}_0 = \sum_{r \in \mathbb{R}} \mathfrak{g}_0^r, \ \mathfrak{g}_0^r = \{Y \in \mathfrak{g}_0 \mid [X_h, Y] = rY\}.$$

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Then  $\mathfrak{g}_0^0 = \mathfrak{g}_0(f_h)$ ,  $[\mathfrak{g}_0^r, \mathfrak{g}_0^s] \subset g_0^{r+s}$ , and  $\langle \mathfrak{g}_0^r, \mathfrak{g}_0^s \rangle = 0$  if  $r+s \neq 0$ .

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$$\mathfrak{n}_h = \sum_{r>0} \mathfrak{g}_0^r$$
, a nilpotent subalgebra normalized by  $G(f_h)$ .

Write  $N_h = exp(\mathfrak{n}_h)$ . Then  $P_h = G(f_h)N_h$  is a parabolic subgroup of G. The hyperbolic step  $\widehat{G(f_h)} \to \widehat{G}$  is defined by

$$\pi \mapsto \operatorname{Ind}_{P_h}^G \pi.$$

#### Elliptic step: cohomological induction

Fix  $f_e \in \mathfrak{g}_0^*$  elliptic, write  $X_e$  for the corresponding element in  $\mathfrak{g}_0$ .

We have 
$$\mathfrak{g} = \sum_{r \in \mathbb{R}} \mathfrak{g}^r$$
,  $\mathfrak{g}^r = \{Y \in \mathfrak{g} | [iX_e, Y] = rY\}$ .

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$$\mathfrak{u}_e = \sum_{r>0} \mathfrak{g}^r, \text{ and }$$

$$\mathfrak{q}_e = \mathfrak{g}(f_e) + \mathfrak{u}_e$$

a  $\theta$ -stable parabolic subalgebra normalized by  $G(f_e)$ . The elliptic step  $\widehat{G(f_e)} \to \widehat{G}$  is defined by

$$\pi \mapsto \mathcal{L}_{\mathfrak{q}_e} \pi$$

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Nilpotent step: unipotent representations ('unipotents')

**Example** The 'unipotents' attached to 0 must be trivial on  $G_0$ .

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Using primitive ideal theory, Vogan defined

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It remains to define 'unipotents'.

Given  $\pi \in \widehat{G}$ . Now we reverse the process of quantization and look for the corresponding  $f = f_h + f_e + f_n \in \mathfrak{g}_0^*$  attached to  $\pi$ .

**Question** Which  $\pi$  corresponds a semsimple element  $f = f_s = f_h + f_e$ ?

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The 'unipotents' are those corresponding to  $f = f_n$  with  $f_h = f_e = 0$ .

The primary property of  $\pi$  is its infinitesimal character.

Let  $\mathfrak{h}$  be a Cartan subalgebra of  $\mathfrak{g}$ .

Write  $W = W(\mathfrak{g}, \mathfrak{h})$  for the Weyl group. Then we have

Harish-Chandra isomorphism  $\xi \colon Z(\mathfrak{g}) \to S(\mathfrak{h})^W$ .

Infl char  $\xi_{\Lambda} \colon Z(\mathfrak{g}) \to \mathbb{C}$  is parametrized by *W*-orbits  $W \cdot \Lambda$  in  $\mathfrak{h}^*$ .

# Parabolic induction: reduction to real infl char

Let H = TA be a  $\theta$ -stable Cartan subgroup with CSA  $\mathfrak{h}_0 = \mathfrak{t}_0 + \mathfrak{a}_0$ . The canonical real form of the complexified CSA  $\mathfrak{h}$  is

 $\mathsf{RE}\,\mathfrak{h}=i\mathfrak{t}_0+\mathfrak{a}_0.$ 

Then  $\Lambda \in \mathfrak{h}^*$  has a unique decomposition

 $\Lambda = \mathsf{RE}\,\Lambda + i\,\mathsf{IM}\,\Lambda.$ 

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**Thm [Knapp]**  $\pi \in \widehat{G}$ . There is a P = MAN a parabolic subgroup and  $\delta \in \widehat{M}$  with real infl char, and  $\nu$  a unitary character of A, s.t.

$$\pi \cong \operatorname{Ind}_{P}^{G}(\delta \otimes \nu).$$

## Cohomological induction in good range

Let q = l + u be a  $\theta$ -stable parabolic subalgebra. Let L be the normalizer of q in G. We say that  $Z \in \widehat{L}$  is in *good range*, if

$$\langle \Lambda_Z + \rho(\mathfrak{u}), \beta \rangle > 0, \forall \beta \in \Delta(\mathfrak{u}, \mathfrak{h}).$$

Thm (Vogan) The cohomological inducton  $\mathcal{L}_{\mathfrak{q}}$  maps  $Z \in \widehat{\mathcal{L}}$  in good range to a  $\pi = \mathcal{L}_{\mathfrak{q}}(Z) \in \widehat{\mathcal{G}}$ .

Let  $e_i$  be a basis for  $\mathfrak{s}$  and  $f_i$  the dual basis with respect to the trace form. The Dirac operator is defined by

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Vogan conjectured that D has the following property:

there is a natural algebra homomorphism  $\zeta : Z(\mathfrak{g}) \to Z(\mathfrak{k}_{\Delta})$ , s.t.  $\forall z \in Z(\mathfrak{g})$  one has

$$z\otimes 1-\zeta(z)=Da+bD$$

for some a, b in  $U(\mathfrak{g}) \otimes C(\mathfrak{s})$ .

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The motivation of the conjecture is to show that

$$H_D(V) = H_D(V \otimes S)$$
: = Ker  $D$  / Ker  $D \cap \text{Im } D$ 

detects the infinitesimal character of V.

If  $\pi$  is unitary, then D is self-dual and  $H_D(X_{\pi}) = \text{Ker } D = \text{Ker } D^2$ . If  $\text{Hom}_{\widetilde{K}}(E_{\gamma}, X_{\pi} \otimes S) \neq 0$ , then

 $\langle \Lambda, \Lambda \rangle \leq \langle \gamma + \rho_{c}, \gamma + \rho_{c} \rangle.$ 

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Thm [H-Pandzic] The followings are equivalent:

- 1)  $H_D(X_{\pi}) \neq 0;$
- 2) the equality in the Dirac inequality holds;
- 3) infl char A is conjugate to  $\gamma + \rho_c$  by Weyl group of  $\mathfrak{g}$ .

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If dim G/K is even, then  $S = S^+ \oplus S^-$  and the index  $X_{\pi} \otimes S^+ - X_{\pi} \otimes S^- = H_D^+(X_{\pi}) - H_D^-(X_{\pi})$  is a virtual  $\widetilde{K}$ -module.

If  $\pi$  is unitary, then D is self-dual and  $H_D(X_{\pi}) = \text{Ker } D = \text{Ker } D^2$ . If  $\text{Hom}_{\widetilde{K}}(E_{\gamma}, X_{\pi} \otimes S) \neq 0$ , then

$$\langle \Lambda, \Lambda \rangle \leq \langle \gamma + \rho_c, \gamma + \rho_c \rangle.$$

Thm [H-Pandzic] The followings are equivalent:

1)  $H_D(X_{\pi}) \neq 0;$ 

2) the equality in the Dirac inequality holds;

3) infl char A is conjugate to  $\gamma + \rho_c$  by Weyl group of  $\mathfrak{g}$ .

If dim G/K is even, then  $S = S^+ \oplus S^-$  and the index  $X_{\pi} \otimes S^+ - X_{\pi} \otimes S^- = H^+_D(X_{\pi}) - H^-_D(X_{\pi})$  is a virtual  $\widetilde{K}$ -module.

Vogan's conjecture tells how to calculate this index.

**Remark** Vogan's conjetcure has been extended to many different setting: by Kostant to general  $(\mathfrak{g}, \mathfrak{r})$ , by Frajria-Kac-Papi to affine Lie algebras, by Kumar for equivariant cohomology, by Barbasch-Cibutaru-Trapa to graded Hecke algebras.

Parabolic induction: further reduction to  $H_D(X) \neq 0$ 

#### **Conjecture:**

Suppose that  $\pi \in \widehat{G}$  with real infl char and  $H_D(X_{\pi}) = 0$ . Then there is

$$\pi \cong \operatorname{Ind}_{P}^{G}(\delta \otimes \nu).$$

## Linear groups, regular infl char

Suppose that  $G_{ell}$  the set of regular elliptic elements is not empty and open.

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#### Linear groups, regular infl char

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If G is linear and the infi char  $\Lambda$  of  $\pi \in \widehat{G}$  is regular, then  $\Lambda$  is strongly regular and

$$X_{\pi}\cong A_{\mathfrak{q}}(\lambda)$$

is cohomiologically induced from 1-dim representation [Salamanca].

$$H_D(A_\mathfrak{q}(\lambda)) = \bigoplus_{w \in W^1_\mathfrak{r}} E_{w(\lambda+
ho)}.$$

H-Pandzic-Vogan [SciChinaMath 2017] showed that  $H_D(X_{\pi})$  essentially determines  $X_{\pi} = A_{\mathfrak{q}}(\lambda)$ .

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**Def** Suppose that G is linear and  $\pi \in \widehat{G}$  has infl char A. We say that  $\pi$  is *unipotent*, if

1)  $H_D(X_{\pi}) = \bigoplus_{w \in W^1} E_{w\Lambda}$ ,

2)  $\pi$  is weakly unipotent, namely, any composition factor in tensor product  $X_{\pi} \otimes F$  with finite-dim'l repn F of G has larger infl. char.

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**Remark** If  $\pi$  is unipotent and not trivial, then

1) infl char of  $\pi$  is singular,

2) infl char of  $\pi$  is small (inside the convex hull of  $W \cdot \rho$ ).

Let q = l + u be a  $\theta$ -stable parabolic subalgebra. Let L be the normalizer of q in G. We say that  $\lambda$  or  $A_q(\lambda)$  is at weakly fair edge, if

$$\langle \lambda |_{\mathfrak{c}}, eta 
angle = \mathsf{0}, \,\, orall eta \in \Delta(\mathfrak{u}, \mathfrak{h}).$$

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**Fact** If  $\lambda$  is weakly fair, then  $A_q(\lambda)$  is unitary.

**Example**  $SL(2n, \mathbb{R})$ : Speh representations at the weakly fair edge Infl char =  $(n-1)e_1 + (n-3)e_2 + \cdots + (-n+1)e_n$ ; LKT =  $(1, \cdots, 1, \pm 1)$ .

Both are  $A_q(\lambda)$ -module at weakly fair edge with two different  $\theta$ -stable q (pp. 586-588 [Knapp-Vogan]).

**Question** Is every unipotent an  $A_{\mathfrak{q}}(\lambda)$  at weakly fair edge?

**Def** Suppose that *G* is reductive and  $\pi \in \widehat{G}$  has infl char  $\Lambda$ . We say that  $\pi$  is called *unipotent* if

1) 
$$H_D(X_{\pi}) = \bigoplus_{w \in W_{[\Lambda]}^1} E_{w\Lambda},$$

where  $\Lambda$  is the infl char of a  $\tilde{K}$ -type in  $H_D(X_{\pi})$  and  $W_{[\Lambda]}$  is the integral Weyl group.

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Remark Some unipotents show up in pairs (as twins).

If  $\pi$  and  $\pi'$  are attached to the same orbit and satisfy

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**Example** The two irreducible components of the oscillator representation are associate.

Let q = l + u be a  $\theta$ -stable parabolic subalgebra. Let L be the normalizer of q in G. We say that  $A_q(\lambda)$  is near weakly fair edge if

$$|\langle \lambda|_{\mathfrak{c}}, \beta^{\mathsf{v}} 
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**Example** Speh representations for  $\widetilde{SL(2n,\mathbb{R})}$ , infl char  $\frac{1}{2}\rho$ . There are four (two pairs of associates): Lucas [TAMS, 2008]. Their LKT are  $\frac{1}{2}(1, \dots, 1, \pm 1)$  and  $\frac{3}{2}(1, \dots, 1, \pm 1)$ . **Remark** There is one pair of associates for  $\widetilde{GL(2n,\mathbb{R})}$ .

#### Unipotents: the Oscillator representation

 $G = Sp(2n, \mathbb{R}).$ 

Let  $\pi$  and  $\pi'$  be the two irreducible components of the oscillator representation.

Both are attached to a minimal orbit.

Their infl char  $\Lambda$  is  $\rho(B_n)$  which is regular, and  $W_{[\Lambda]}$  is of type  $D_n$  (same as the Weyl group for  $SO^*(2n)$ ).

$$H_D(X_{\pi}) \oplus H_D(X_{\pi'}) = \bigoplus_{w \in W^1} E_{w\Lambda}.$$

Neither  $\pi$  nor  $\pi'$  is an  $A_{\mathfrak{q}}(\lambda)$ -module.

They are theta lifts of trivial and sign representations of O(1).

Unipotents in highest weight modules: Wallach modules

 $G = U(p,q), O^*(2n)$ : H-Pandzic-Protsak [PJM 2011] showed that Dirac cohomology of any Wallach representation  $\pi$ 

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 $G = Sp(2n, \mathbb{R})$ : any Wallach representation  $\pi$  has an associate  $\pi'$ .

$$H_D(X_\pi) \oplus H_D(X_{\pi'}) = \bigoplus_{w \in W^1} E_{w\Lambda}.$$

They are theta lift from trivial and the sign representations of O(k) (k = 1, ..., n).

# Unipotents and corresponding nilpotent orbits

The unipotents are constructed from

- 1)  $A_{\mathfrak{q}}(\lambda)$  at (or near) the weakly fair edge
- 2) Arthur's packets
- 3) Theta lifting
- 4) Unitary degenerate principal series:

Rothschild-Wolf [Annales of E.N.S. 1974]

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5) Geometric quantization: Brylinski-Kostant on the minimal representations of exceptional groups [PNAS 1994].

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**Problem** Determine all unipotents and their correponding nilpotent orbits (data).

# THANK YOU!